



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on January 16, 2002 in an envelope as First Class Mail, addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Pat Tate  
Pat Tate

Attorney Docket No.: CISC041C1

First Named Inventor: HOWES, et al

Application No. 09/954,507

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a Response to Notice to File Missing Parts in a patent application in the name of inventors:

**RICHARD A. HOWES  
EDWARD C. KERSEY  
BRUCE F. WONG  
JAMES A. JORDAN  
WILLIAM M. LEBLANC  
ANDREW L. FOSS**

For: **METHOD AND APPARATUS FOR MAINTAINING CONNECTION STATE BETWEEN A CONNECTION MANAGER AND A FAILOVER DEVICE**

Application Elements:



Declaration



Newly executed (original or copy)



Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.



Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:



Information Disclosure Statement with Form PTO-1449



Copies of IDS Citations



Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)



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Atty. Docket No. CISC041C1

Page 1 of 2

- ☐ Verified Statement Claiming Small Entity Status filed in prior application. Status still proper and desired.
- ☐ Other:

Amendments

- ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained.)

Fee Calculation (37 CFR § 1.16)

|   | (Col. 1)<br>NO. FILED | (Col. 2)<br>NO. EXTRA | RATE | SMALL ENTITY<br>FEE | OR | LARGE ENTITY<br>RATE | FEE |
|---|-----------------------|-----------------------|------|---------------------|----|----------------------|-----|
| BASIC FEE   |                       |                       |      | \$355 \$            | OR | \$710 \$             |     |
| TOTAL CLAIMS  | _____ -20 = _____     |                       |      | x9 = \$             | OR | x18 = \$             |     |
| INDEP CLAIMS  | _____ -03 = _____     |                       |      | x40 = \$            | OR | x80 = \$             |     |
| [ ] Multiple Dependent Claim Presented                                |                       |                       |      | \$130 = \$          | OR | \$260 = \$           |     |
| * If the difference in Col. 1 is less than zero, enter "0" in Col. 2. |                       |                       |      | Total \$            | OR | Total \$             |     |

- ☒ Applicants petition for a one (1) month extension of time to respond under 37 CFR § 1.136(a).

- ☒ Check No. 407 in the amount of \$1030.00 is enclosed.

General Authorizations


- ☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0685 (Order No. CISCPO41C1).

- ☒ The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. CISCPO41C1 ).

- ☒ Please send correspondence to the following address:

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Date: \_\_\_\_\_

  
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VAN PELT & YI LLP

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Atty Dkt No: CISC041C1 App. No: 09/954,507

Inventor (s): HOWES, et al

Filing Date: September 12, 2001

Date: January 16, 2002

By: W. J. [Signature]



For: METHOD AND APPARATUS FOR MAINTAINING  
CONNECTION STATE BETWEEN A CONNECTION  
MANAGER AND A FAILOVER DEVICE

The following have been received in the U.S. Patent and  
Trademark Office on the date stamped hereon:

1. Response to Notice to File Missing Parts;
2. Notice to File Missing Parts;
3. Declaration and Power of Attorney signed in parts;
4. Check No. 407 for \$1030.00